

## CIRCULARS

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### RBI

- Outsourcing of Cash Management – Reconciliation of Transactions

### NSE

- Change in Market lot for security SHUBHLAXMI in SME Platform
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
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- Reminder for submission of Internal Audit Report for the Half Year Ended March 31, 2019
- Commodity Derivatives Segment – Settlement Calendar for June 2019
- Clarification on choice of Clearing Member – Interoperability among Clearing Corporations
- Revised list of Approved Securities and Approved Banks
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- Disciplinary actions for late/non submission of data towards Holding Statement
- Reminder for Submission of Risk Based Supervision Data for the FY 2018-19
- Sample files – Interoperability among Clearing Corporations
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- Designated Clearing Corporation – Interoperability among Clearing Corporations

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- Fixed Deposit Receipt as collaterals
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- Confirmatory order in the matter of Allied Financial Services Pvt. Ltd
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

## BSE

- Disciplinary actions for late/non submission of data towards Holding Statement
- Reporting of Trading Member wise Collateral details by Clearing Members.
- Disciplinary actions for late/non submission of data towards Holding Statement
- Annual System Audit of Stock Brokers / Trading members.
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”
- Additional Exposure Margin on Securities under MWPL

## MCX

- Participation of Mutual Funds in Commodity Derivatives Market in India
- Commencement of Crude Oil August 2019 Option Contract with Crude Oil (100 Barrels) Futures as underlying
- Reminder – Submission of data pertaining to Risk Based Supervision and Internal Audit Report
- System Audit of Trading Member-Reminder
- Procedure for dealing with lead ingots at MCXCCL Accredited Warehouses
- Procedure for dealing with Nickel Cathodes at MCXCCL Accredited Warehouses
- Upgradation of VPN Client

## SEBI

- Adjudication Order in respect of Salasar Techno Engineering Pvt Ltd in the matter of Ashutosh Paper Mills Ltd
- Adjudication Order in respect of KPM Infotech Private Limited in the matter of Ashutosh Paper Mills Ltd
- Adjudication Order in respect of five entities in the matter of Action Financial Services (INDIA) Limited
- Settlement Order in respect of Mr. Vishwarupe Narain in the matter of CRISIL Limited
- Adjudication Order in respect of 9 entities in the matter of Exdon Trading Company Limited
- Adjudication Order in respect of six entities in the matter of En Aromatic & Petro Chemicals Ltd.
- Adjudication order in respect of Royal Touch Fablon Private Limited in the matter of Trading in Illiquid Stock Options on BSE
- Confirmatory Order in the matter of Allied Financial Services Pvt. Ltd.
- Adjudication Order in respect of Aakansha Advisory Services Private Limited in the matter of trading in Illiquid Stock Options on BSE

## NSDL

- No New Circulars

## NCDEX

- Final Settlement Price of contracts expired on May 20 2019

## ICEX

- MCCIL- DDR

## INX

- Revision to contract size for Indian Single Stock Futures & Options contract
- SETTLEMENT SCHEDULE FOR THE PERIOD 01.06.2019 TO 30.06.2019
- Commencement of business by Trading Member – KARVY BROKING (IFSC) LIMITED  
(5055)

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